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Approved for use through 09/30/2000, OMB 0651-0032
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. Y0R9-2000-0415US1 (8728-407)

First Inventor or Application Identifier Altman et al.

Title METHOD AND APPARATUS FOR PROFILING

Express Mail Label No. EL434031569US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Specification [Total Pages 39]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (*if filed*)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
(for continuation/divisional with Box 16 completed)
4. Oath or Declaration [Total Pages 2]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 C.F.R. § 1.63(d))
5. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

***NOTE FOR ITEMS 1 & 13:** IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.37), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

5. Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Copy
 - b. Paper Copy (identical to computer copy)
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. Assignment Papers (cover sheet & document(s))
37 C.F.R. § 3.73(b) Statement Power of Attorney
8. Statement(s) Status still proper and desired
(when there is an assignee)
9. English Translation Document (*if applicable*)
10. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
11. Preliminary Amendment
12. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
 - * Small Entity Statement(s) Statement filed in prior application.
 - 13. Statement(s) Status still proper and desired
(PTO/SB/09-12)
 - 14. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
 - 15. Other: Associate Power of Attorney

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation Divisional Continuation-in-part (CIP) of prior application No: _____

Prior application information: Examiner _____

Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)			or <input type="checkbox"/> Correspondence address below
--	---	--	--	--

Name	Gaspare J. Randazzo			
Address	F. Chau & Associates, LLP 1900 Hempstead Turnpike, Suite 501			
City	East Meadow	State	New York	Zip Code
Country	USA	Telephone	516-357-0091	Fax
Name (Print/Type)		Gaspare J. Randazzo		Registration No. (Attorney/Agent)
Signature		<i>Gaspare J. Randazzo</i>		Date Aug 11, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL		Complete if Known	
for FY 2000		Application Number	
Patent fees are subject to annual revision. Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.		Filing Date	August 11, 2000
		First Named Inventor	Altman
		Examiner Name	
		Group / Art Unit	
TOTAL AMOUNT OF PAYMENT (\$)		Attorney Docket No. Y0R9-2000-0415US1 (8728-407)	

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:		3. ADDITIONAL FEES	
Deposit Account Number	50-0510/IBM	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)
Deposit Account Name	IBM/Yorktown Heights	Fee Description	Fee Paid
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		105 130 205 65	Surcharge - late filing fee or oath
<input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		127 50 227 25	Surcharge - late provisional filing fee or cover sheet.
FEE CALCULATION		139 130 139 130	Non-English specification
1. BASIC FILING FEE		147 2,520 147 2,520	For filing a request for reexamination
Large Entity Fee Code (\$)		112 920* 112 920*	Requesting publication of SIR prior to Examiner action
Small Entity Fee Code (\$)		113 1,840* 113 1,840*	Requesting publication of SIR after Examiner action
Fee Description		115 110 215 55	Extension for reply within first month
Fee Paid		116 380 216 190	Extension for reply within second month
101 690 201 345 Utility filing fee		117 870 217 435	Extension for reply within third month
106 310 206 155 Design filing fee		118 1,360 218 680	Extension for reply within fourth month
107 480 207 240 Plant filing fee		128 1,850 228 925	Extension for reply within fifth month
108 690 208 345 Reissue filing fee		119 300 219 150	Notice of Appeal
114 150 214 75 Provisional filing fee		120 300 220 150	Filing a brief in support of an appeal
SUBTOTAL (1) (\$)		121 260 221 130	Request for oral hearing
690.00		138 1,510 138 1,510	Petition to institute a public use proceeding
2. EXTRA CLAIM FEES		140 110 240 55	Petition to revive - unavoidable
Large Entity Fee Code (\$)		141 1,210 241 605	Petition to revive - unintentional
Small Entity Fee Code (\$)		142 1,210 242 605	Utility issue fee (or reissue)
Fee Description		143 430 243 215	Design issue fee
Fee Paid		144 580 244 290	Plant issue fee
Total Claims 38 -20** = 18 x 18 = 324		122 130 122 130	Petitions to the Commissioner
Independent Claims 2 -3** = 0 x 78 = 0		123 50 123 50	Petitions related to provisional applications
Multiple Dependent 260 = 260		126 240 126 240	Submission of Information Disclosure Stmt
*or number previously paid, if greater; For Reissues, see below		581 40 581 40	Recording each patent assignment per property (times number of properties)
Large Entity Fee Code (\$)		146 690 246 345	40
Small Entity Fee Code (\$)		149 690 249 345	Filing a submission after final rejection (37 CFR § 1.129(a))
Fee Description		For each additional invention to be examined (37 CFR § 1.129(b))	
Fee Paid		Other fee (specify) _____	
103 18 203 9 Claims in excess of 20		Other fee (specify) _____	
102 78 202 39 Independent claims in excess of 3		SUBTOTAL (3) (\$)	
104 260 204 130 Multiple dependent claim, if not paid		40.00	
109 78 209 39 ** Reissue independent claims over original patent		Reduced by Basic Filing Fee Paid	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent		SUBMITTED BY	
SUBTOTAL (2) (\$)		Gaspare J. Randazzo	
324.00		Registration No. (Attorney/Agent)	41,528

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Gaspare J. Randazzo	Registration No. (Attorney/Agent)	41,528
Signature	<i>Gaspare J. Randazzo</i>	Telephone	(516) 357-0091
Date	Aug. 11, 2000		

WARNING:
Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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USPTOAssistant Commissioner for Patents
Washington, D.C. 20231

OK-14-A ATTORNEY DOCKET: Y0R9-2000-0415US1(8728-407)

Date: August 11, 2000

Express Mail Label: EL434031569US

Date of Deposit: August 11, 2000

JC862 U.S. PRO
09/637078

08/11/00

Transmitted herewith for filing is the Patent Application of:

Inventors: Erik R. Altman, Kemal Ebciooglu, Michael Karl Gschwind,

Sumedh Sathaye

For: METHOD AND APPARATUS FOR PROFILING COMPUTER PROGRAM EXECUTION

Enclosed are: [X] 29 sheets of specification; [X] 1 sheet(s) of Abstract; [X] 9 sheet(s) of claims; [X] 5 sheet(s) of drawing(s);

 An assignment of the invention to International Business Machines Corporation with Recordation Form. Declaration and Power of Attorney. A certified copy of a _____ application, from which priority under Title 35 USC §119 is claimed. Associate Power of Attorney.

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)
FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	38 -20 =	18
INDEP CLAIMS	2 -3 =	0
<u>MULTIPLE DEPENDENT CLAIMS PRESENTED</u>		

If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

OTHER THAN A SMALL ENTITY	
RATE	Fee
	\$690.00
X \$18 =	324.00
X \$78 =	0
+ 260 =	
TOTAL	\$1,014.00

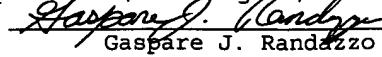
 A check in the amount of \$ _____ to cover the [] filing fee(s), [] recording fee is enclosed. Please charge my Deposit Account No. 50-0510/IBM (Yorktown Heights) in the amount of \$1,014.00. The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0510/IBM (Yorktown Heights). A duplicate copy of this sheet is enclosed. Any additional filing fees required under 37 CFR 1.16. Any patent application processing fees under 35 CFR 1.17.

Respectfully submitted,

By:


Gaspare J. Randazzo
Registration No. 41,528

Please address all correspondence to:

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1900 Hempstead Tpke., Suite 501
East Meadow, NY 11554
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Fax: (516) 357-0092Attorney for:IBM Corporation
Intellectual Property Law Dept.
P.O. Box 218
Yorktown Heights, NY 10598CERTIFICATION UNDER 37 C.F.R. §1.10I hereby certify that this Application transmittal and documents referred to as enclosed are being deposited with the United States Postal Service on this date August 11, 2000 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL434031569US addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.
Gaspare J. Randazzo